

#### PATENT APPLICATION TRANSMITTAL LETTER

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith for filing is the patent application of:

Bruce Henry Garvie

for CLEAT FOR FOOTWEAR

Enclosed are:

- (X) 13 pages of specification and claims.
- (X) Abstract
- (X) 3 sheet(s) of drawings.
- (X) Declaration and Power of Attorney (UNEXECUTED)
- (X) Statement to establish small entity status of Inventor under 37 CFR 1.9 and 1.27. (UNEXECUTED)
- ( ) Information Disclosure Statement
- ( ) Assignment and Assignment Recordation
- (X) A check in the amount of \$345.00 to cover the filing fee.
- (X) Any additional filing fees or presentation of extra claim fees may be charged to Deposit Account No. 01-2221.

CLAIMS AS FILED

SMALL ENTITY

OTHER THAN A SMALL ENTITY

FOR	# FILED	# EXTRA	RATE	FEE	<u>OR</u>	RATE	FEE
BASIC FEE TOTAL CLAIMS	11-20	0	x\$ 9=	\$345.00 \$		<u>x\$ 18=</u>	\$690.00 \$
INDEP. CLAIMS	3-3	00	x\$ 39=	\$		x\$ 78=	\$
MULTIPLE DEP.	CLAIM PRES	SENT	x\$135=	\$345.00		x\$270= TOTAL	\$

Respectfully submitted,

John L. Welsh

Registration No. 33,621

AQUILINO, WELSH & FLAXMAN, P.C. 2341 Jefferson Davis Hwy., Ste. 112 Arlington, VA 22202 (703) 920-1122 Docket No. GAR-001

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b)) - INDEPENDENT INVENTOR

APPLICANT OR PATENTEE: Bruce Henry Garvie

SERIAL OR PATENT NO.:
FILED OR ISSUED :
TITLE : CLEAT FOR FOOTWEAR
DOCKET NO. : GAR-001
As a below named inventor, I hereby state that I qualify as an
independent inventor as defined in 37 CFR 1.9(c) for purposes of
paying reduced fees to the Patent and Trademark Office described
in:

X - the specification filed herewith with title as listed above.

\_\_\_\_ - the patent identified above.

\_\_\_\_ - the application identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey, or license any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract of law to assign, grant, convey or license any rights in the invention is listed below:

- X No such person, concern or organization exists.
- \_\_\_\_ Each such person, concern or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating to their status as small entities (37 CFR 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

Date: Signature:	
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Name of Inventor: Bruce Henry Garvie

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE APPLICATION FOR LETTERS PATENT

INVENTOR: Bruce Henry Garvie

TITLE: CLEAT FOR FOOTWEAR

#### **BACKGROUND OF THE INVENTION**

#### 1. Field of the Invention

The invention relates to a composite cleat for sports shoes. More particularly, the invention relates to an insert for a cleat, a two component cleat for an article of footwear and a method of manufacturing the cleat.

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#### 2. Description of the Prior Art

The known prior art soft cleats disclose composite two component cleats. However, these prior art cleat inserts are made from metal. The manufacture of inserts from metal has lead to bonding problems such that when torque is applied to the cleat during the insertion and removal from the shoe separation occurs between the insert and the traction member.

As such, the present invention sets forth to overcome this bonding problem while creating an economical injection molding process offering numerous advantages discussed below.

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#### **SUMMARY OF THE INVENTION**

The invention relates to a cleat for an article of footwear. The cleat includes an insert made from a synthetic plastic material and a synthetic plastic traction member. The traction member is secured to the insert during a molding process, wherein the insert is made from a synthetic plastic material having a greater hardness than the traction member. The insert has a stem portion, an engagement means at a first end of the stem portion for releasable engagement with a complementary engagement formation defined on an undersole of the article of footwear and a securing formation extending from the stem portion for securing the traction member to the stem portion. The cleat is formed in a single, economic process and the insert is formed from a plastic material which is of sufficient hardness to alleviate past problems experienced with stripping of threads on the stem. Because both the insert and the traction member are formed of synthetic plastic materials, they are able to bond in the manufacturing process at controlled temperatures. This alleviates problems experienced with prior art cleats where a metal insert is used and insufficient bonding between the insert and the traction member creates problems when torque is applied to the cleat during the insertion and removal of the cleat from the shoe. The insert is formed of a synthetic plastic material having a hardness between 75 MPa and 85 MPa.

It is, therefore, an object of the invention to provide a composite cleat for an article of footwear comprising an insert made from a synthetic plastic material and a plastic traction member which is secured to the insert during a molding process. The insert is made from a

synthetic plastic material having a greater hardness than the traction member.

It is a further object of the invention to provide the securing formation on the insert with a central raised spike opposite the stem portion which is aligned with a central traction member formation or center spike on the traction member. The raised spike on the insert and the aligned center spike on the traction member cooperate to function as a visual wear indicator for the cleat. That is, as the center spike on the traction member is worn away the raised spike on the insert will become visible.

It is still a further object of the invention to provide an insert and traction member made from different color materials. The traction member may be of a resiliently deformable synthetic plastic material and be formed about the insert, so that the securing formation and the second end of the stem are encased in the traction member, with the first end of the stem portion, on which the engagement means is defined, protruding from the traction member. The synthetic plastic material may be polyurethane, or the like.

Still another object of the invention is to provide a method of manufacturing a cleat for an article of footwear, wherein the method includes the steps of 1) forming an insert via an injection molding process and 2) forming a traction member about the insert in a second step of the injection molding process. The insert may be integrally molded in an injection molding process. The synthetic plastic material may be a polyamide such as nylon, or the like.

Yet another object of the invention is to have an insert and traction member made from different synthetic plastic materials which bond during the molding process at a

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temperature range of between 50-70°C.

Another object of the invention is to form the insert from a synthetic plastic material having a hardness between 75 MPa and 85 MPa.

Another aspect of the invention is an insert for a cleat for an article of footwear comprising a stem portion, an engagement means at a first end of the stem portion for releasable engagement with a complementary engagement formation defined on an undersole of the article of footwear, and a securing formation extending from the stem portion for securing a traction member to the stem portion, wherein the securing formation includes a raised spike extending therefrom in opposition to the stem portion.

The securing formation may be in the form of a skirt or flange which extends substantially orthogonally from the stem portion. The flange may be spaced from a secured end of the stem portion. A plurality of circumferentially spaced apertures may be defined in the flange portion.

The stem portion may be in the form of a round cylindrical element, with the engagement means being in the form of an external screw thread which is defined on the element for engagement with an internal screw thread which is defined in a complementary socket in the underside of the article of footwear.

A second aspect of the invention is a traction member. The traction member may be substantially round when viewed from above, having a substantially planar upper surface, with the first portion of the stem projecting from the upper surface so that the upper surface

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in use abuts the undersole of the article of footwear. A plurality of traction formations may be defined on a bottom surface of the traction member. The traction formations may be in the form of spaced apart spikes.

A central traction formation or spike may be defined on the bottom surface of the traction member. It will be appreciated that the central traction formation will be aligned with the second end of the stem of the insert. In a preferred embodiment of the invention, the second end of the insert extends into the central traction formation.

The traction member may be of a different color to the insert. It will further be appreciated that, when the central traction formation is worn away by use, at least a part of the second end of the insert will be visible. This serves as a wear indicator, to indicate to a user of the article of footwear when to replace the cleat.

Other objects, advantages and salient features of the invention will become apparent from the following detailed description, which taken in conjunction with the annexed drawings, discloses a preferred, but non-limiting, embodiment of the subject invention.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a schematic side view of an insert, in accordance with a first aspect of the invention, for a cleat for an article of footwear.

Figure 2 is a schematic side sectional view taken at II-II of Figure 4, of a combined insert and traction member forming a cleat, in accordance with a second aspect of the invention, for use with an article of footwear.

Figure 3 is a schematic plan view of a first embodiment of the traction member with the insert shown in dotted lines.

Figure 4 is a schematic plan view of a second embodiment of the traction member with the insert shown in dotted lines.

Figure 5 is a schematic plan view of a third embodiment of the traction member with the insert shown in dotted lines.

Figure 6 is a schematic plan view of a fourth embodiment of the traction member with the insert shown in dotted lines.

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#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

The detailed embodiments of the present invention are disclosed herein. It should be understood, however, that the disclosed embodiments are merely exemplary of the invention, which may be embodied in various forms. Therefore, the details disclosed herein are not to be interpreted as limited, but merely as the basis for the claims and as a basis for teaching one skilled in the art how to make and/or use the invention.

With reference to FIG. 1, reference numeral 10 generally indicates an insert, in accordance with the invention, for a cleat for an article of footwear.

The insert 10 includes a stem portion 12 with an engagement means in the form of an external screw thread 14 being defined on a first end 16 of the stem portion 12 for releasable engagement with a complementary engagement formation of an undersole of the article of footwear or golf shoe (not shown).

The insert 10 also includes a securing formation in the form of a skirt or flange 18 which extends substantially orthogonally from a second end 20 of the stem portion 12. The flange 18 has radially extending limbs 22 (as shown in Figures 3 to 6 of the drawings in dotted lines). Four circumferentially spaced apart apertures 24 are defined in the flange 18. The second end 20 of the insert 10 includes a raised spike 19 in opposition to the stem 12.

The insert 10 is formed of a synthetic plastic material. In accordance with a preferred embodiment of the present invention, the synthetic plastic material may be an unreinforced impact modified PA6 grade plastic material with low density, such as obtainable from BASF South Africa under the trade name "Ultramid B3Z", and having a ball indentation hardness of

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80 MPa. Further, it has now been found that the insert may be formed from LARIPUR - 30% glass filled crystalline polymer Laripur 72D25, CRASTIN glass filled crystalline polymer Crastin S600 or NYLON glass filled crystalline polymer Nylon B3-6. The insert 10 is integrally molded in a first step of an injection molding process at a barrel temperature between 210 - 285°C and molded at a temperature of between 60-70°C.

Referring now to FIGS. 2 to 5 of the drawings, a cleat 26 in accordance with the present invention is disclosed. The cleat 26 is adapted for use with an article of footwear or golf shoe. Each cleat 26 includes the insert 10 as shown in FIG. 1 and a traction member 28. The traction member 28 is secured to the securing formation 18 of the insert 10. The traction member 28 is of a resiliently deformable synthetic plastic material and is formed about the insert 10 in an injection molding process. Once formed the securing formation 18 and the second end 20 of the stem portion 12 are encased in the traction member 28, with the first end 16 of the stem portion 12, on which the screw thread 14 is defined, protruding from the traction member 28.

In accordance with a preferred embodiment of the present invention, the traction member 28 is made from LARIPUR 5225, 51D Shore, HYTREL 4056, 90-95 Shore-A or ELASTOLLAN 598, 90-95 Shore-A and injection molded at a barrel temperature of 150 - 180°C and mold temperature of 50 - 60°C. It will be appreciated that, because both the insert 10 and the traction member 28 are formed of synthetic plastic materials, they will rigidly bond in the injection molding process at controlled temperatures. In fact, the insert 10 and traction

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member 28 may be made from the same synthetic plastic material with the hardness of the materials varied to produce a harder insert 10 than traction member 28. One known way of varying the hardness of the synthetic plastic materials is by reinforcing the insert material with glass and differing the barrel and mold temperature during the injection molding process.

Each traction member 28 is substantially circular in plan view, having a substantially planar upper surface (as shown in FIG. 2 of the drawings) which in use abuts the undersole of a shoe. A plurality of traction spikes 32 are defined on a bottom surface 34 of each traction member 28. The spikes 32 may be triangular in shape (FIG. 4), rhombohedrical (FIG. 3), circular (FIG. 5), or wedge-like (FIG. 6). Each spike 32 has a substantially planar contact portion 36 to enhance wear.

A central traction formation or spike 38 is defined on the bottom surface 34 of each traction member 28. The central traction spike 38 is aligned with the raised spike 19 on the second end 20 of the stem portion 12 of the insert 10. In fact, the raised spike 19 sits within the central spike 38 in a manner providing for early wear detection as discussed below.

The traction member 28 may be of a different color than the insert 10. Thus, when the central traction formation 38 is worn away by use, a part of the raised spike 19 of the insert will be visible. This feature serves as a wear indicator, alerting a user of the shoe to replace the cleat 26. As mentioned above, the fact that the raised spike 19 extends within the central spike 38 allows for early detection of cleat wear. Specifically, the spike 19 is revealed when only the top portion of the central spike 38 is worn. A user is thereby readily warned

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as to the wear status of the cleat 26.

A pair of openings 40 are defined in each traction member 28. The openings allow the teeth of a tightening member to be inserted for insertion and removal of the cleat 26 from the shoe.

In use, the cleat 26 is engaged to the undersole of the shoe by screwing the first end 16 of the stem portion 12 of the insert 10 into a complementary socket defined in the shoe.

While various preferred embodiments have been shown and described, it will be understood that there is no intent to limit the invention by such disclosure, but rather, is intended to cover all modifications and alternate constructions falling within the spirit and scope of the invention as defined in the appended claims.

#### **CLAIMS**

- 1. A cleat for an article of footwear, comprising:
  - an insert made from a synthetic plastic material; and
- a plastic traction member which is secured to the insert during a molding process; wherein the insert is made from a synthetic plastic material having a greater hardness than the traction member.
- 2. The cleat according to claim 1, wherein the insert includes:
  - a stem portion;
- an engagement means at a first end of the stem portion for releasable engagement with a complementary engagement formation defined on an undersole of the article of footwear; and a securing formation extending from the stem portion for securing the traction member to the insert.
- 3. The cleat according to claim 1, wherein the insert is formed of a synthetic plastic material having a hardness between 75 MPa and 85 MPa.
- 4. The cleat according to claim 2, wherein the insert includes a raised spike opposite the first end of the stem portion, the raised spike being aligned with a traction member spike to cooperate therewith and function as a visual wear indicator for the cleat.

- 5. The cleat according to claim 4, wherein the insert and traction member are made from different color materials.
- 6. A method of manufacturing a cleat for an article of footwear, the method comprising the following steps:

injection molding an insert; and injection molding a traction member about the insert.

- 7. The invention of claim 6, wherein the insert and traction member are made from different color materials.
- 8. The invention of claim 6, wherein the insert and traction member are made from different synthetic plastic materials which bond during the molding process at a temperature range of between 50-70°C.
- 9. The invention of claim 6, wherein the insert is formed of a synthetic plastic material having a hardness between 75 MPa and 85 MPa.

10. An insert for a cleat for an article of footwear, comprising:

a stem portion;

an engagement means at a first end of the stem portion for releasable engagement with a complementary engagement formation defined on an undersole of the article of footwear;

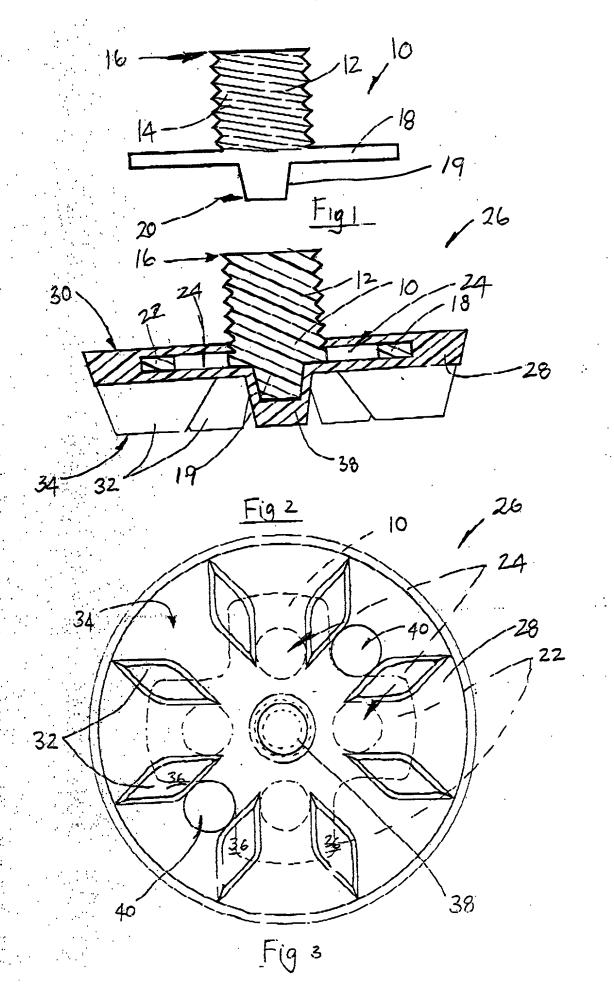
a securing formation extending from the stem portion for securing a traction member to the stem portion and a raised spike extending from a second end of the stem portion.

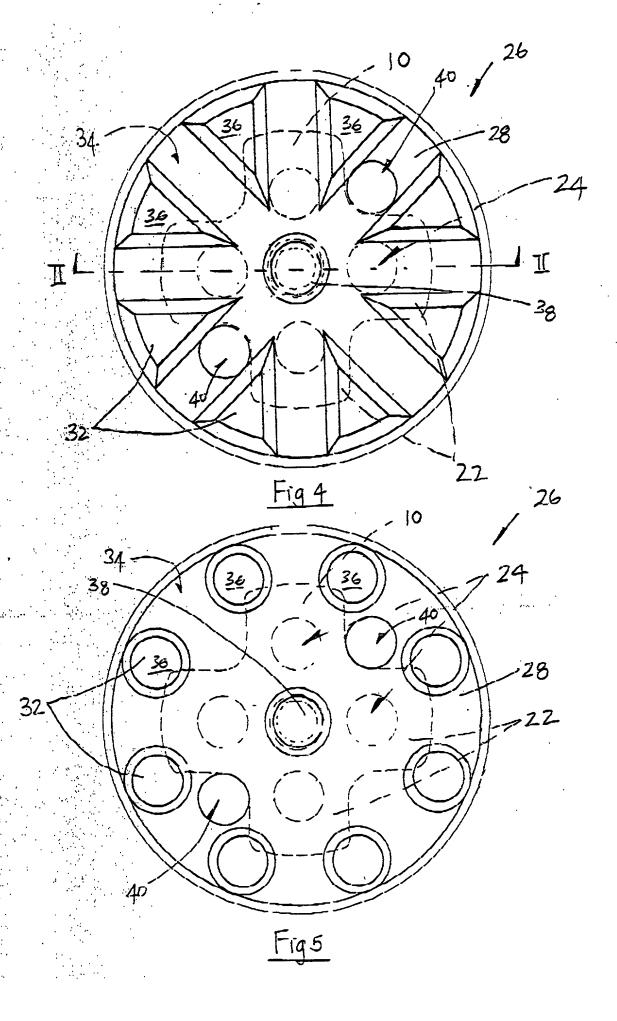
portion.

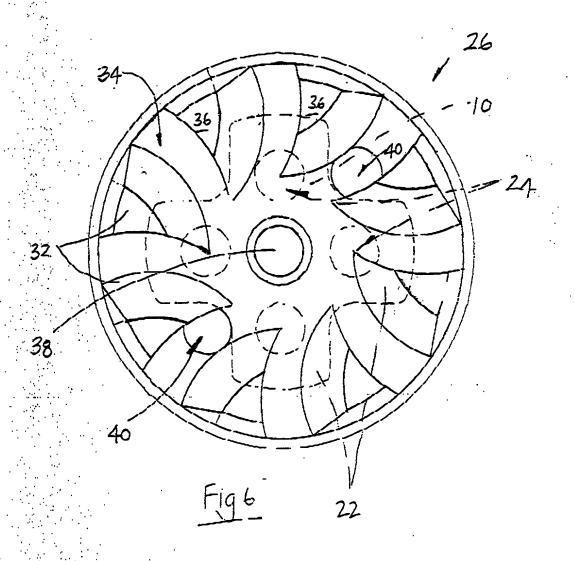
11. The invention of claim 10, wherein the insert is formed of a synthetic plastic material having a hardness between 75 MPa and 85 MPa.

#### ABSTRACT OF THE DISCLOSURE

A cleat for an article of footwear comprising an insert made from a synthetic plastic material and a synthetic plastic traction member. The traction member is secured to the insert during a molding process, wherein the insert is made from a synthetic plastic material having a greater hardness than the traction member. The insert having a stem portion, an engagement means at a first end of the stem portion for releasable engagement with a complementary engagement formation defined on an undersole of the article of footwear and a securing formation extending from the stem portion for securing the traction member to the stem portion. Further, the cleat is formed in a single, economic injection molding process wherein the insert is formed from a plastic material which is of sufficient hardness to alleviate past problems experienced with stripping of a thread on the stem. The insert is formed of a synthetic plastic material having a hardness between 75 MPa and 85 MPa.







#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### DECLARATION FOR PATENT APPLICATION

INVENTOR(S): Bruce Henry Garvie

TITLE : CLEAT FOR FOOTWEAR

DOCKET NO. : GAR-001

#### TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:

As a below named inventor, I hereby declare that:

This declaration is of the following type: (check one applicable item below)

X	original
	design
	supplemental

NOTE: If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application do <u>not</u> check next item; check appropriate one of last three items.

\_\_\_ national stage of PCT

NOTE: If one of the following 3 items apply then complete and also attach ADDED PAGES FOR DIVISIONAL, CONTINUATION OR CIP.

 divisional	
continuation	
 continuation-in-part	(CIP)

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is

claimed and for which a patent is sought on the invention entitled
<pre>CLEAT FOR FOOTWEAR</pre>
attached hereto unless the following is checked:
was filed on as United States Application Number or
PCT International Application Number, and was amended on
(if applicable).
I hereby state that I have reviewed and understand the
contents of the above-identified specification, including the
claims, as amended by any amendment referred to above.
I acknowledge the duty to disclose information which is
material to patentability as defined in Title 37, Code of Federal
Regulations, § 1.56.
I hereby claim foreign priority benefits under Title 35,
United States Code, § 119(a)-(d) or 265(b) of any foreign
application(s) for patent or inventor's certificate or 365(a) of
any PCT international application which designates at least one
country other than the United States of America, listed below and
have also identified below any foreign application for patents or
inventor's certificate, or of any PCT international application
having a filing date before that of the application on which
priority is claimed.
Prior Foreign Applications: Priority Claimed:
South Africa 31 May 1999 X (Number) (Country) (Day/Month/Year Filed) Yes No

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

(Application No.) (Filing Date) (Status - patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the National or PCT international filing date of this application.

(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)
(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)

As the inventor, I hereby appoint the following attorney(s) and/or agent(s) of AQUILINO, WELSH & FLAXMAN to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith. Name and registration number are listed below.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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